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Notice on Issuing the *Criteria for Application and Assessment of Research Series Professional Titles of Kunming University*

All units of the University:

The *Criteria for Application and Assessment of Research Series Professional Titles of Kunming University* has been reviewed and approved at the 5th President's Office Meeting on March 15, 2022. It is hereby issued and shall be implemented in accordance with the provisions.

Kunming University

May 27, 2022

Criteria for Application and Assessment of Research Series Professional Titles of Kunming University

Chapter I General Provisions

Article 1 These criteria are formulated in accordance with relevant provisions including the *Overall Plan for Deepening the Reform of Education Evaluation in the New Era* and the *Implementation Measures for the Administration of Professional Title Assessment in Yunnan Province (Trial)* (YRSF [2020] No. 57), combined with the actual situation of the University.

Article 2 Research series professional and technical titles are divided into three levels: junior, intermediate and senior. The senior level is further categorized into associate senior and full senior. The titles corresponding to junior, intermediate, associate senior and full senior levels are Research Assistant, Assistant Research Fellow, Associate Research Fellow and Research Fellow respectively.

Chapter II Scope of Application

Article 3 These criteria apply to full-time on-the-job professional and technical personnel engaged in scientific research work, as well as those engaged in management and research work in administrative service departments of Kunming University.

Chapter III Application Requirements

Article 4 Applicants for research series professional and technical titles shall meet the following basic requirements simultaneously:

(1) Abide by the Constitution and laws of the People's Republic of China, implement the Party's education policy, consciously practice the core socialist values, possess sound ideological and political quality and professional ethics, conduct oneself with virtue, pursue scholarship with virtue, teach with virtue, and remain dedicated to one's post.

(2) Possess professional knowledge and scientific research capabilities corresponding to research posts. All annual assessments since assuming the current position have been graded "Pass" or above. Be physically and mentally sound with good psychological quality, and capable of fulfilling the responsibilities and obligations of scientific research posts as required.

Article 5 Applicants for research series professional and technical titles shall meet the following qualification requirements:

(1) Research Assistant: Hold a master's degree; or hold a bachelor's degree and have completed a one-year probation period with a pass assessment.

(2) Assistant Research Fellow: Hold a doctoral degree; or hold a master's degree and have served as a Research Assistant for at least 2 years; or hold a bachelor's degree and have served as a Research Assistant for at least 4 years.

(3) Associate Research Fellow: Hold a bachelor's degree or above, and have served as an Assistant Research Fellow for at least 5 years; or hold a doctoral degree and have served as an Assistant Research Fellow for at least 2 years.

(4) Research Fellow: Hold a bachelor's degree or above, and have served as an Associate Research Fellow for at least 5 years.

Chapter IV Assessment Criteria

Article 6 Assessment Criteria for Research Assistant

(1) Possess theoretical knowledge of higher education administration and the relevant discipline.

(2) Complete all work tasks assigned by the University and earnestly perform job responsibilities.

(3) Meet one of the following requirements for representative achievements:

1. Participate in teaching reform and construction projects or scientific research projects.

2. Publish academic papers as the first author (corresponding author) in public, or participate in the compilation of academic works and textbooks.

3. Participate in or guide students to participate in various discipline competitions, skill competitions, and art and sports competitions and win university-level awards.

Article 7 Assessment Criteria for Assistant Research Fellow

(1) Possess systematic theoretical knowledge of the discipline, and be competent in scientific research, technology R&D, management consultation and social services in the corresponding major.

(2) Possess certain knowledge of higher education administration and related disciplines, and have conducted relevant research on education administration. Be capable of undertaking corresponding management work, independently handling work-related problems, and drafting work plans, summaries, reports and official documents independently.

(3) Complete all work tasks assigned by the University and earnestly perform job responsibilities.

(4) Meet one of the following requirements for representative achievements:

1. Publish 2 highly influential academic papers as the first author (corresponding author) in public.

2. Serve as the chief editor of 1 officially published academic monograph.

3. Preside over 1 provincial-level scientific research project as the principal investigator (PI).

4. Win 1 National Excellent Invention Patent Award, or obtain 2 national invention patents as the first inventor.

Article 8 Assessment Criteria for Associate Research Fellow

(1) Possess systematic theoretical knowledge, solid professional foundation and rich practical experience in the discipline, keep abreast of domestic and international academic development trends of the discipline, and have the capability to carry out scientific research work independently.

(2) Have excellent scientific research performance, play a backbone role in scientific research, technology R&D, management consultation and social services of the major, and be capable of undertaking the organization and management of scientific research work.

(3) Possess systematic experience in higher education administration and practice, be familiar with the current situation and development trend of domestic and international higher education administration, and have certain academic attainments and research capabilities in the field of higher education administration.

(4) Complete all work tasks assigned by the University and earnestly perform job responsibilities.

(5) Meet two of the following requirements for representative achievements:

1. Preside over 1 national-level scientific research project, or 1 humanities and social science project of the Ministry of Education, or 2 provincial and ministerial-level scientific research projects as the PI.

2. Preside over and complete horizontal research projects (including industry-university-research cooperation projects) with cumulative actual received funds of no less than 200,000 RMB for social science categories and no less than 400,000 RMB for natural science categories.

3. Publish 8 highly influential academic papers as the first author (corresponding author) in public, among which 5 are retrieved/indexed, or a single paper has an impact factor of 5.0 or above.

4. Publish 2 academic monographs (translated works) with academic value as the first author (200,000 words per work) by B-class and above publishers.

5. Consultation reports or policy recommendations are adopted by provincial-level and above authorities, or receive positive written instructions from incumbent provincial and ministerial-level leaders.

6. Win national-level awards, or win provincial and ministerial-level science and technology awards (Natural Science Award, Technological Invention Award, Science and Technology Progress Award) or provincial and ministerial-level Outstanding Achievement Awards in Philosophy and Social Sciences, with the following ranking requirements: top 5 for the Special Grand Prize, top 4 for the First Prize, top 3 for the Second Prize, and 2nd place for the Third Prize.

7. Industry standards, local standards or technical specifications officially approved and issued by provincial-level standardization administrative authorities.

8. Win the China Patent Award as the first accomplisher, or obtain 4 national invention patents as the first inventor, among which at least 2 have been commercialized through achievement transformation.

9. Preside over or participate in the management work involved in the University's institutional mechanism construction, make significant contributions to the University's management work, serve as the main drafter of important university-wide rules and regulations, and achieve favorable results in practical application.

Article 9 Assessment Criteria for Research Fellow

(1) Possess solid theoretical foundation and extensive professional knowledge of the discipline, have rich practical experience, keep abreast of domestic and international development trends of the discipline, and have the capability to pioneer new research fields.

(2) Have outstanding scientific research performance, play a leading role in scientific research, technology R&D, management consultation and social services of the major, be competent in guiding postgraduate students or young researchers, and have the capability to organize and lead scientific research work.

(3) Possess rich knowledge of higher education administration and related disciplines, be familiar with the current situation and development trend of domestic and international higher education administration research, have profound academic attainments in higher education administration research, and have obtained high-value research achievements.

(4) Complete the prescribed scientific research workload of the University and all work tasks assigned by the department, and earnestly perform job responsibilities.

(5) Meet three of the following requirements for representative achievements:

1. Preside over 1 national-level scientific research project, or 1 humanities and social science fund project of the Ministry of Education, or 2 provincial and ministerial-level scientific research projects as the PI.

2. Preside over and complete horizontal research projects (including industry-university-research cooperation projects) with cumulative actual received funds of no less than 200,000 RMB for social science categories and no less than 400,000 RMB for natural science categories.

3. Publish 8 highly influential academic papers as the first author (corresponding author) in public, among which 5 are retrieved/indexed, or a single paper has an impact factor of 5.0 or above.

4. Independently publish 2 academic monographs (translated works) with academic value as the first author (200,000 words per work) by A-class publishers.

5. Consultation reports or policy recommendations are adopted by central and national ministries and commissions, or receive positive written instructions from deputy national-level leaders.

6. Win national-level awards (top 3), or win provincial and ministerial-level science and technology awards (Natural Science Award, Technological Invention Award, Science and Technology Progress Award) or provincial and ministerial-level Outstanding Achievement Awards in Philosophy and Social Sciences, with the following ranking requirements: top 4 for the Special Grand Prize, top 3 for the First Prize, top 2 for the Second Prize, and 1st place for the Third Prize.

7. Industry standards, local standards or technical specifications officially approved and issued by provincial-level standardization administrative authorities.

8. Win the China Patent Award as the first accomplisher, or obtain no less than 4 national authorized invention patents as the first inventor, among which at least 2 have been commercialized through achievement transformation.

9. Preside over or participate in the management work involved in the University's institutional mechanism construction, make significant contributions to the University's management work, serve as the main drafter of important university-wide rules and regulations, and achieve favorable results in practical application.

Chapter V Exceptional Assessment Criteria

Article 10 Conditions for Exceptional Assessment

(1) Preside over 1 national-level project as the PI.

(2) Publish 3 highly influential academic papers as the first author (corresponding author) that are retrieved/indexed, or 1 paper with an impact factor of 5.0 or above.

(3) Publish 1 academic monograph (translated work) with academic value as the first author, or 1 textbook as the first chief editor, by B-class and above publishers.

(4) Win provincial and ministerial-level teaching achievement awards, with the following ranking requirements: top 5 for the Special Grand Prize, top 3 for the First Prize, and 1st place for the Second Prize; or win national-level science and technology awards (Natural Science Award, Technological Invention Award, Science and Technology Progress Award) regardless of ranking; or win provincial and ministerial-level science and technology

awards or provincial and ministerial-level Outstanding Achievement Awards in Philosophy and Social Sciences, with the following ranking requirements: top 4 for the Special Grand Prize, top 3 for the First Prize, top 2 for the Second Prize, and 1st place for the Third Prize.

(5) Win the China Patent Award as the first accomplisher, or obtain 4 national authorized invention patents as the first accomplisher, among which at least 2 have been commercialized through achievement transformation.

(6) Possess 1 research report or consultation report adopted by provincial-level and above competent authorities (official certification from the competent authority is required), or receive positive written instructions from incumbent provincial and ministerial-level and above leaders.

(7) Undertake horizontal scientific research projects as the project host, with cumulative received funds of 200,000 RMB for associate senior title application and 400,000 RMB for full senior title application.

(8) Participate in national-level teaching competitions and win the Third Prize or above at the national level.

(9) For art teachers, creative works or performance achievements win international excellence awards, or 2 national third prizes.

(10) For physical education teachers, participate in or guide students to win the top 3 places in national-level sports competitions.

Article 11 Exceptional Application for Associate Research Fellow. Applicants who do not meet the basic qualification requirements for regular assessment but have outstanding performance may apply for exceptional assessment of the Associate Research Fellow title, on the premise of meeting the regular assessment criteria for the research series and simultaneously satisfying 2 conditions listed in Article 10.

Article 12 Exceptional Application for Research Fellow. Applicants who do not meet the basic qualification requirements for regular assessment but have outstanding performance may apply for exceptional assessment of the Research Fellow title, on the premise of meeting the regular assessment criteria for the research series and simultaneously satisfying 3 conditions listed in Article 10.

Article 13 Performance achievements used for regular application shall not be reused as qualifying conditions for exceptional assessment.

Chapter VI Supplementary Provisions

Article 14 Relevant Explanations on Assessment Criteria

(1) Publicly published academic papers must have ISSN or CN numbers, excluding supplementary issues, special issues, themed issues and conference proceedings.

(2) "Retrieved/indexed" refers to papers with official retrieval reports, and certification from authorized retrieval institutions is required. Corresponding authorship is only recognized when the first author is a student of this University.

(3) Papers must be officially published in print or online. If the same paper is published in both Chinese and foreign language versions, only 1 paper will be counted.

(4) Publicly published academic works and textbooks must have ISBN numbers and be in the applied major or closely related fields. A-class publishers include The Commercial Press, Zhonghua Book Company, SDX Joint Publishing Company, People's Publishing House, Science Press, China Social Sciences Press, Higher Education Press, Social Sciences Academic Press, and prestigious international university presses. B-class publishers refer to

publishing houses affiliated with national ministries and commissions, and publishing houses of 985 universities.

(5) National-level scientific research projects refer to projects funded by competent authorities such as the Ministry of Science and Technology, the National Natural Science Foundation of China, and the National Office for Philosophy and Social Sciences.

(6) Provincial and ministerial-level scientific research projects refer to projects funded by competent authorities such as the Ministry of Education, the Provincial Department of Science and Technology, the Provincial Development and Reform Commission, and the Provincial Office for Philosophy and Social Sciences.

(7) National-level teaching reform and construction projects include: national first-class courses, first-class programmes, teaching teams, Emerging Engineering Education research and practice projects, industry-university cooperation collaborative education projects, curriculum ideology and politics demonstration courses, virtual simulation experiment teaching projects, teaching reform research projects, and curriculum ideology and politics teaching research demonstration centers.

(8) Provincial-level teaching reform and construction projects include: provincial first-class courses, first-class programmes, teaching teams, Emerging Engineering Education research and practice projects, industry-university cooperation collaborative education projects, curriculum ideology and politics demonstration courses, virtual simulation experiment teaching projects, teaching reform research projects, curriculum ideology and politics teaching research demonstration centers, and teaching achievement cultivation projects.

(9) Scientific research and teaching reform and construction projects must be supported by official approval documents, project award notices or project task statements.

(10) Horizontal projects must be supported by official project contracts, and the amount of received funds shall be subject to the certification issued by the Finance Office.

(11) National-level, provincial and ministerial-level, and prefecture-level scientific research achievements and teaching achievement awards refer to awards evaluated and granted by relevant government authorities, and shall be subject to official documents or award certificates.

(12) Awards for creative works or performance achievements of art teachers refer to those evaluated and awarded by relevant government authorities. Undertaking project design requires formal project contracts from competent authorities of corresponding levels.

(13) National-level sports events refer to the National Games, the National Urban Games, the National University Games, and national sports competitions organized by the General Administration of Sport of China and the Ministry of Education.

(14) Provincial-level sports events refer to the Provincial Games, the Provincial University Games, and provincial sports competitions organized by the Provincial Sports Bureau and the Provincial Education Department.

(15) National Class A competitions include: China "Internet+" College Students Innovation and Entrepreneurship Competition, "FLTRP Cup" National English Speaking Competition for College Students, National College Students Structural Design Competition, National College Students Mechanical Innovation Design Competition, National College Students Engineering Training Comprehensive Ability Competition, National College Students Electronic Design Competition, National College Students Intelligent Car Competition, National College Students Logistics Design Competition, National College

Students Mathematical Modeling Competition, National College Students Advertising Art Competition, and "Challenge Cup" Chinese College Students' Business Plan Competition.

Article 15 These criteria shall be deliberated and approved by the University Academic Committee, reviewed by the President's Office Meeting, examined and finalized by the University Party Committee, and submitted to the Provincial Department of Human Resources and Social Security and the Provincial Department of Education for review and filing before implementation.

Article 16 These criteria shall come into force as of the date of issuance. In case of any inconsistency between previous provisions and these criteria, these criteria shall prevail. The former *Implementation Rules for the Assessment Criteria of Research Series Professional Titles of Kunming University (Trial)* (KYR [2018] No. 4) shall be repealed simultaneously.

General Office of Kunming University Issued on May 30, 2022